

JM Financial Institutional Securities Limited

Investor Complaints Data – Stock Broking

Data for the month ended January 31, 2026

| S. N. | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** | | Average Resolution time^ (in days) |
|-------|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1. | Directly from Investors | 0 | 0 | 0 | 0 | 0 | | NA |
| 2. | SEBI (SCORES 2.0) | 0 | 0 | 0 | 0 | 0 | | NA |
| 3. | Stock Exchanges | 0 | 0 | 0 | 0 | 0 | | NA |
| 4. | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | | NA |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | | NA |

* Includes complaints of previous months resolved in the current month, if any.

** Includes total complaints pending as on the last day of the month, if any.

^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

JM Financial Institutional Securities Limited**Investor Complaints Data – Stock Broking****Trend of monthly disposal of complaints**

| S. N. | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|----------|--------------------|-------------------------------------|----------|-----------|-----------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1. | April 2025 | 0 | 0 | 0 | 0 |
| 2. | May 2025 | 0 | 0 | 0 | 0 |
| 3. | June 2025 | 0 | 0 | 0 | 0 |
| 4. | July 2025 | 0 | 0 | 0 | 0 |
| 5. | August 2025 | 0 | 0 | 0 | 0 |
| 6. | September 2025 | 0 | 0 | 0 | 0 |
| 7. | October 2025 | 0 | 0 | 0 | 0 |
| 8. | November 2025 | 0 | 0 | 0 | 0 |
| 9. | December 2025 | 0 | 0 | 0 | 0 |
| 10. | January 2026 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

* Includes complaints of previous months resolved in the current month, if any.

** Includes total complaints pending as on the last day of the month, if any.

Trend of annual disposal of complaints

| S. N. | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|-------|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1. | 2021-22 | 0 | 0 | 0 | 0 |
| 2. | 2022-23 | 0 | 0 | 0 | 0 |
| 3. | 2023-24 | 0 | 0 | 0 | 0 |
| 4. | 2024-25 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |